

# High-Resolution Global Monitoring of Urban Settlements

SCERIN-1 Meeting

M. Marconcini, T. Esch, A. Felbier

German Aerospace Center (DLR)

German Remote Sensing Data Center (DFD)

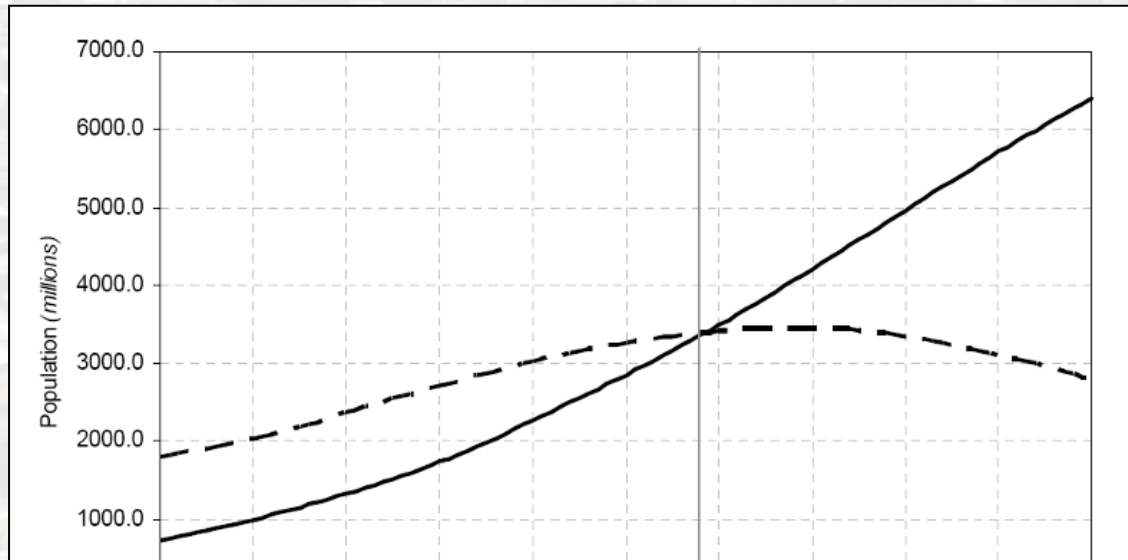
Land Surface (LAX)

Urban Area and Land Management Team

Knowledge for Tomorrow



# Global Perspective on Human Settlements



- **180.000** additional urban inhabitants per day
- Number of megacities from currently **25** to more than **100** within the next 30 years
- China: **30** new cities with >1 million inhabitants in next two decades
- India: **26** new cities with >1 million inhabitants in next two decades

# Planning Times

- For an effective planning it is necessary to reliably know **which is the current extent of urban areas**;
- In **developed countries** cadastral data are generally available [however, it might be sometimes difficult to get all the necessary information when regional (or national planning) has to be investigated];
- In **developing or under-developed countries** often **no official records are available and heavy informal settlement regularly occurs!** (and the population growth here is 6 times faster).



Earth Obser

global geo

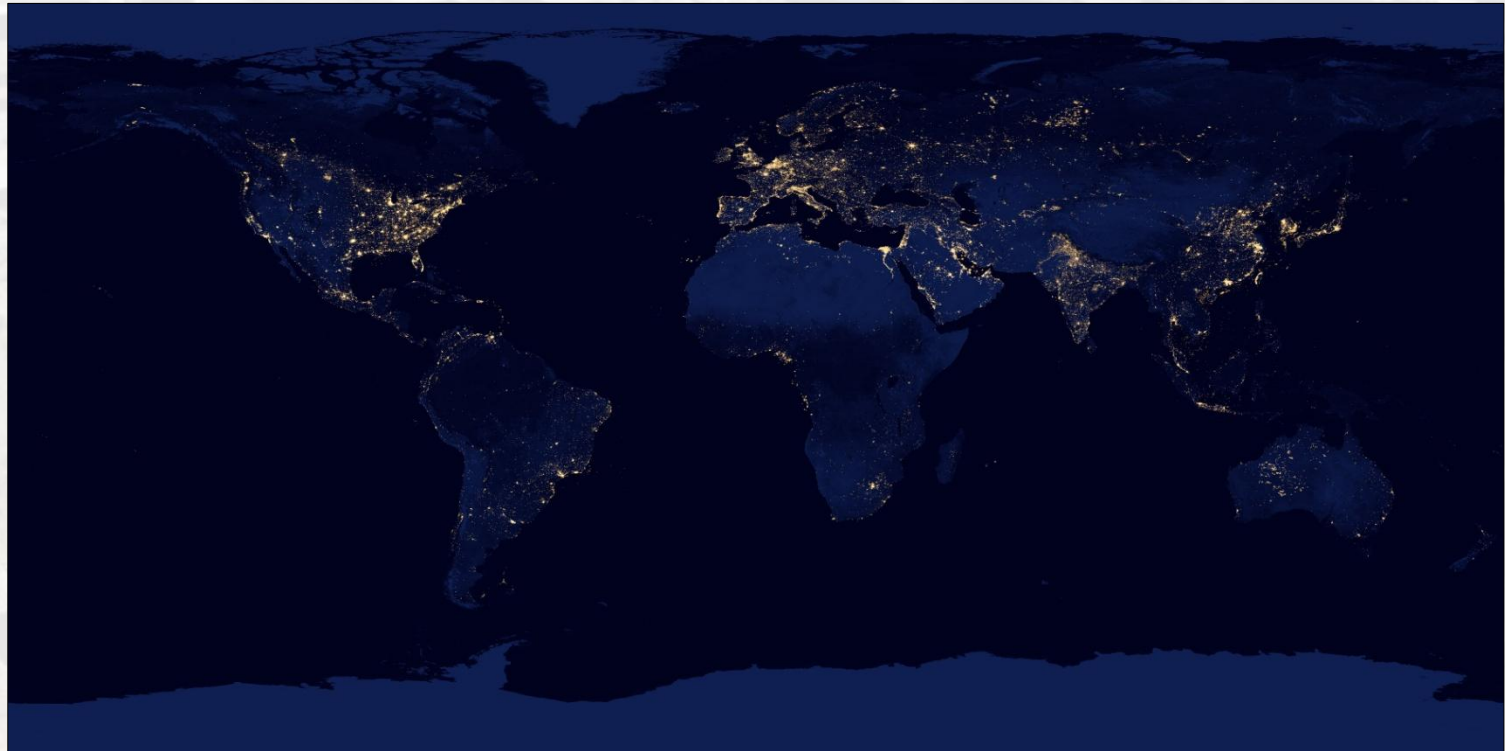
of urban a



# Planning Times

- In the last decade several EO-based and EO-supported **Global Human Settlements Layers (GHSL)** have been produced to map human settlements worldwide.

NASA global nighttime lights product derived from imagery of the Visible Infrared Imaging Radiometer Suite (VIIRS)





# Currently available Global Human Settlements Layers

Google Earth

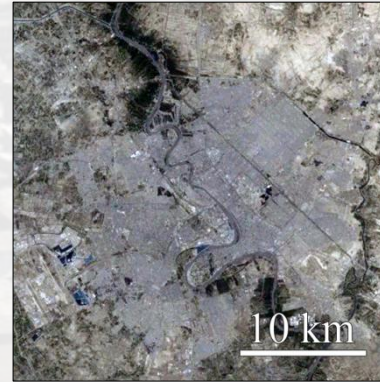
Accra (GH)



Dar es Salaam (TZ)



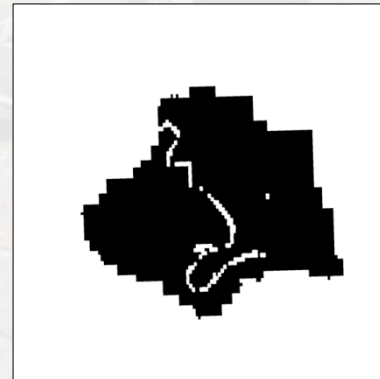
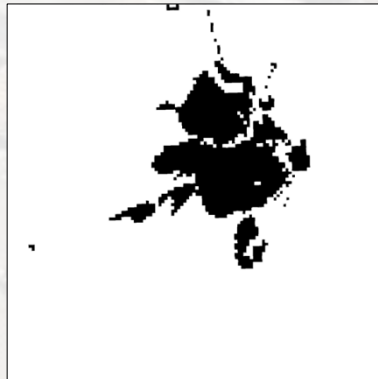
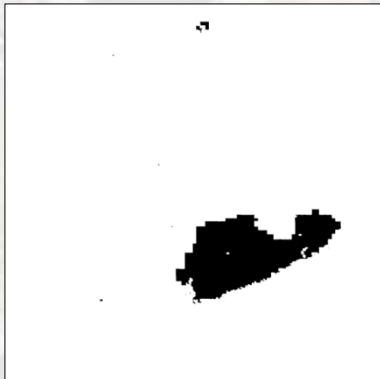
Baghdad (IQ)



Amsterdam (NL)



GlobCover 2009



# Currently available Global Human Settlements Layers

Google Earth

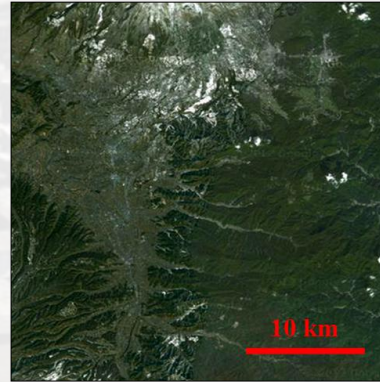
Rome (IT)



Oklahoma City (USA)



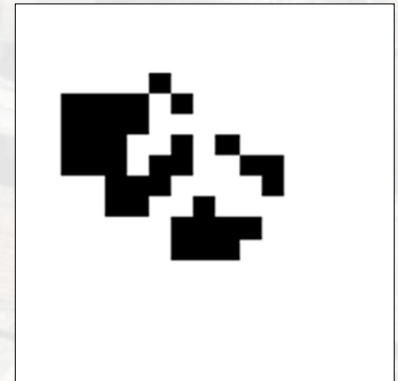
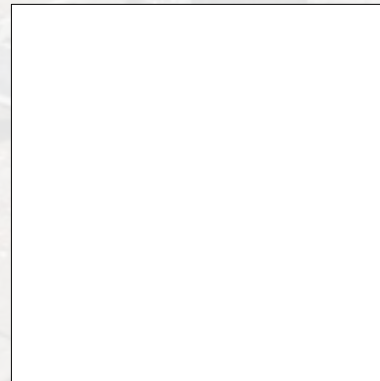
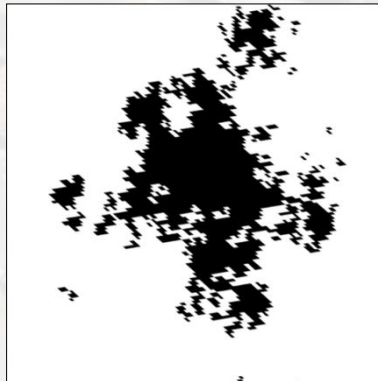
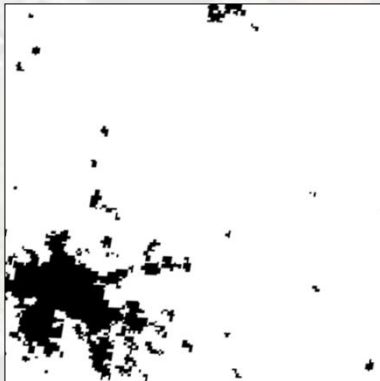
Saku (JP)



Zanzibar (TZ)



MODIS 500

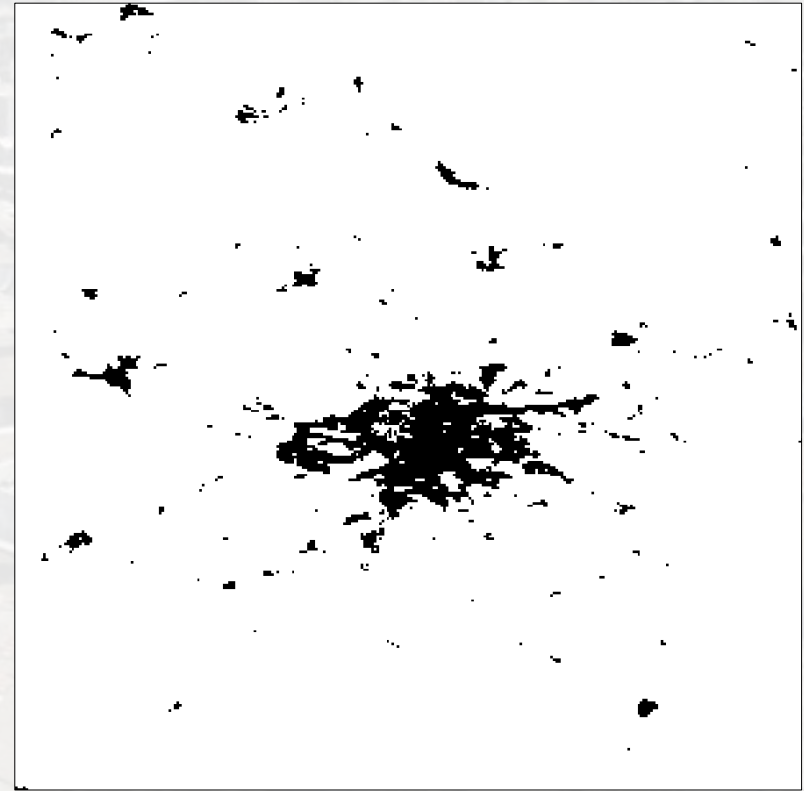


# Currently available Global Human Settlements Layers

## Prague (CZ)



Google Earth



GlobCover 2009





# Global Urban Footprint

... the next generation GHSL



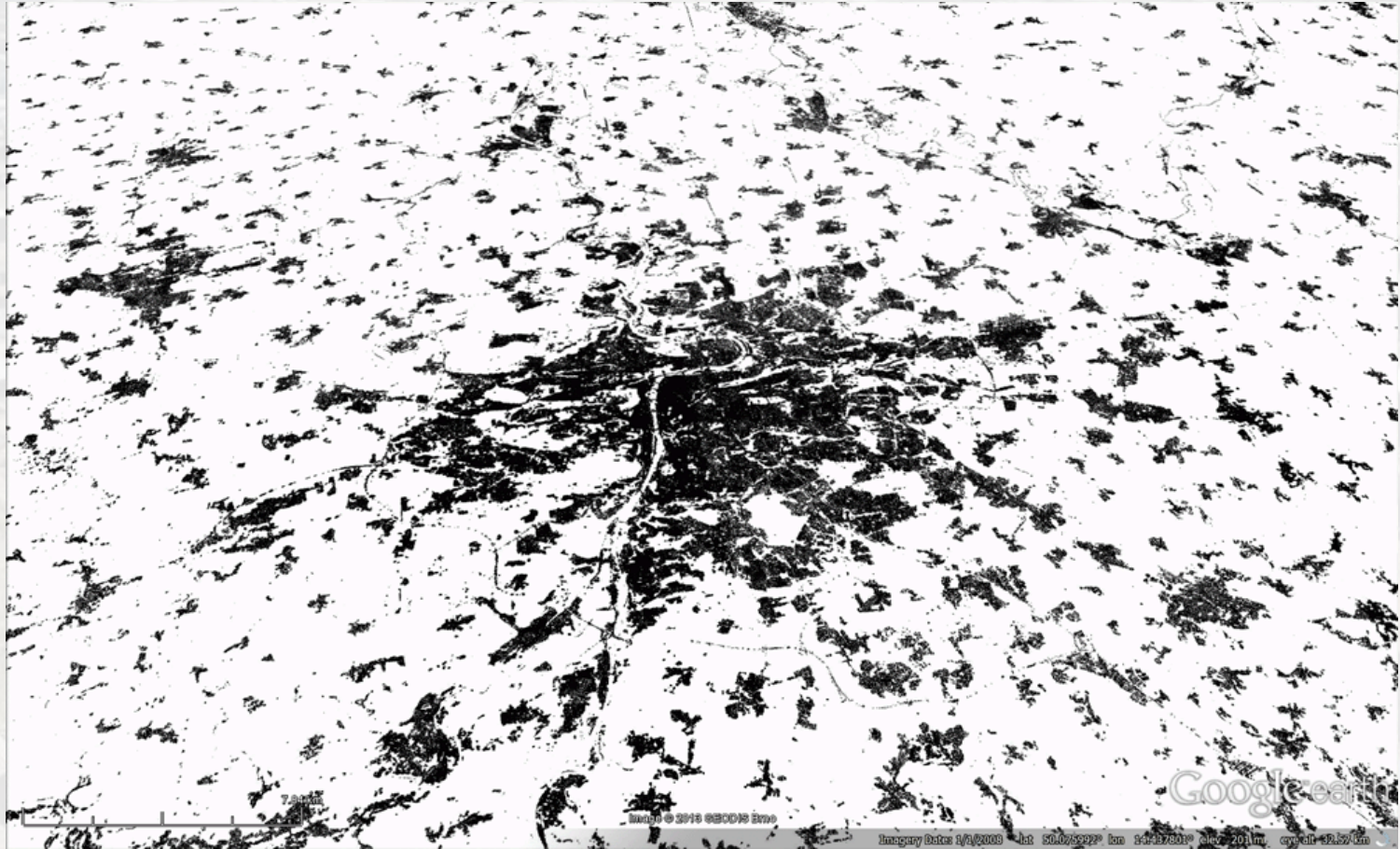
# Global Urban Footprint

... the next generation GHSL



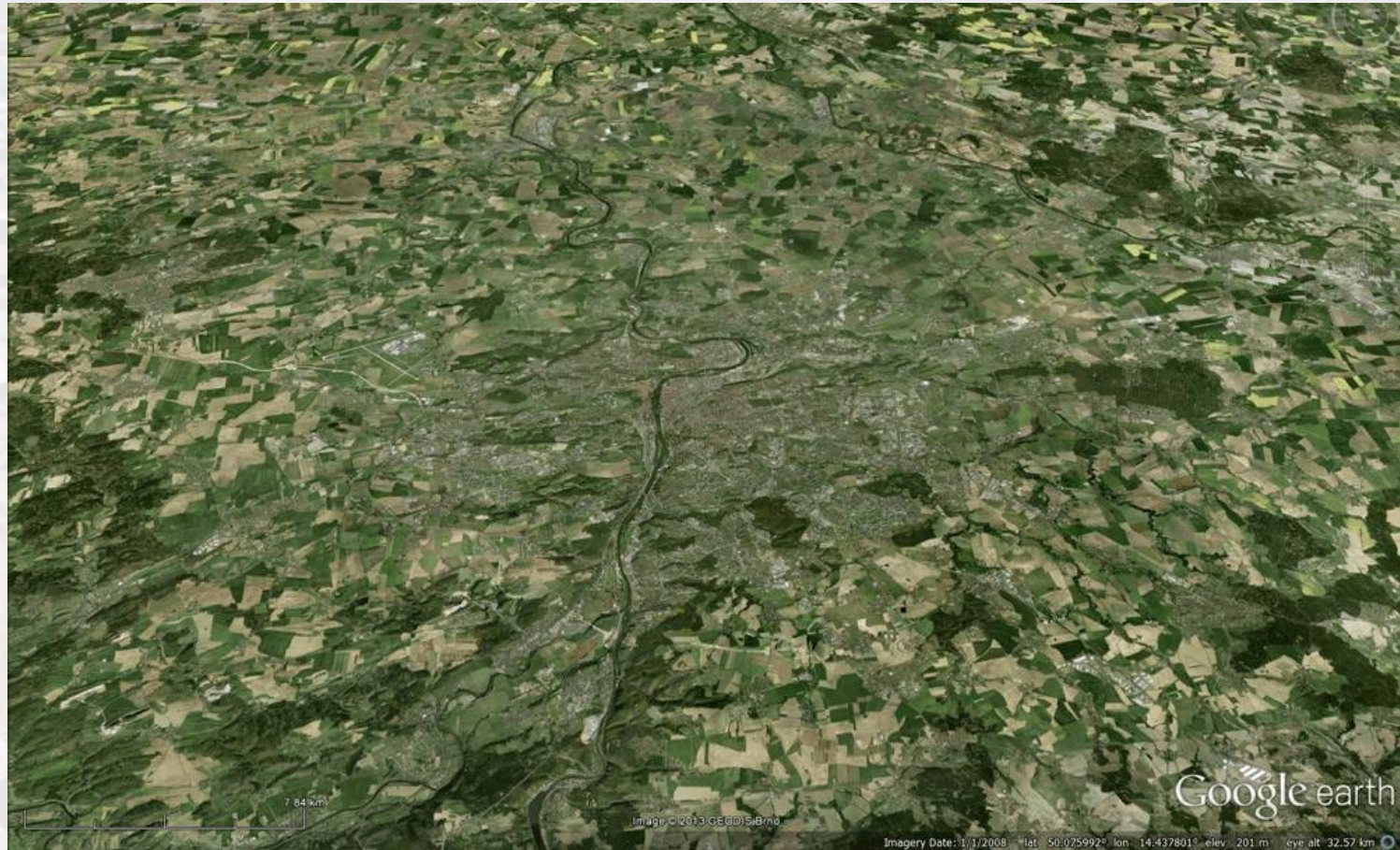
# Global Urban Footprint

... the next generation GHSL



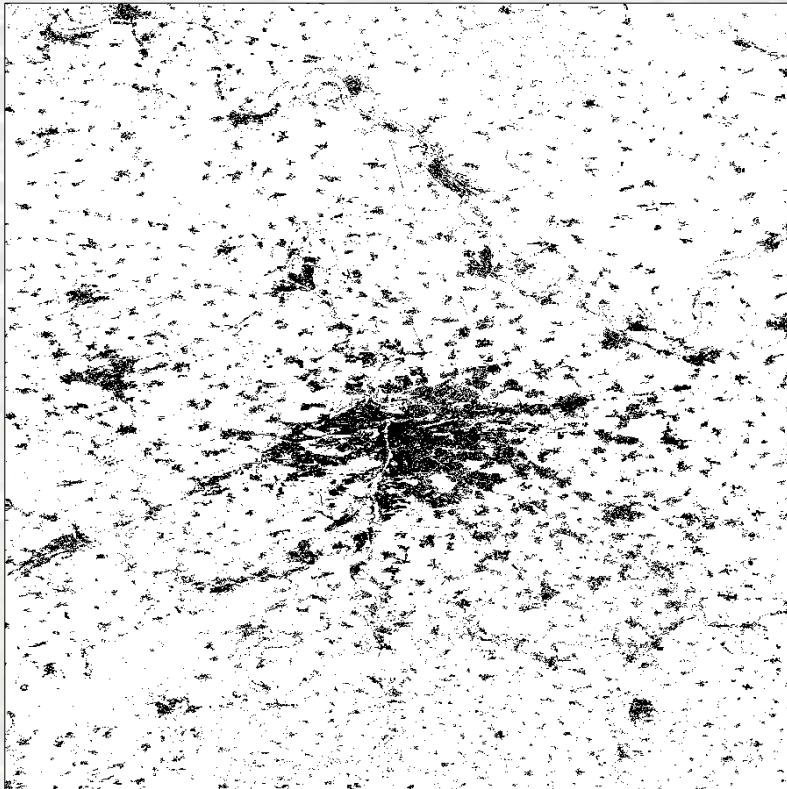
# Global Urban Footprint

... the next generation GHSL

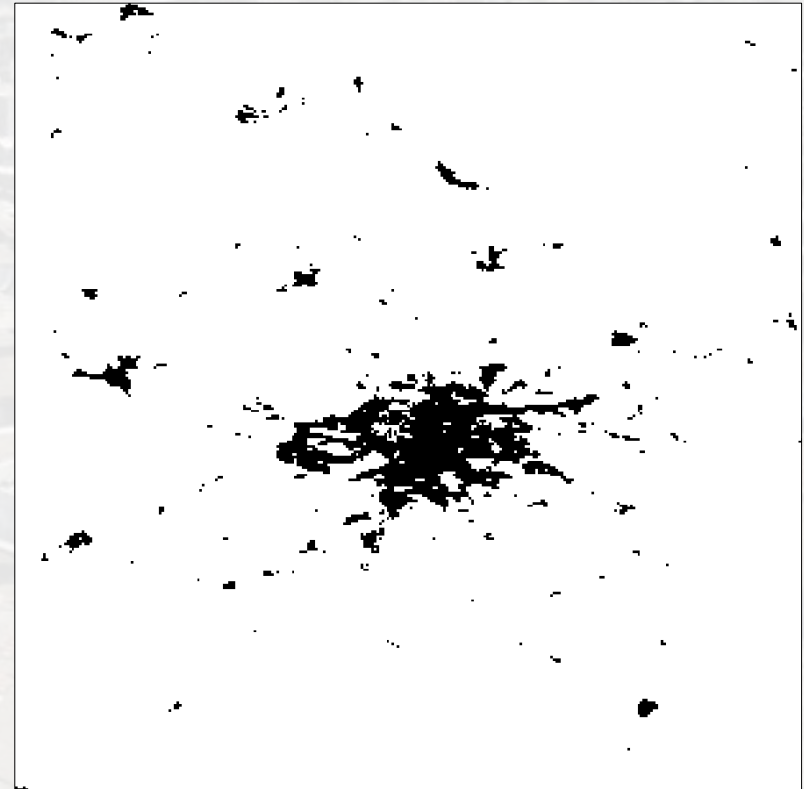


# Currently available Global Human Settlements Layers

Prague (CZ)



**Global Urban Footprint**



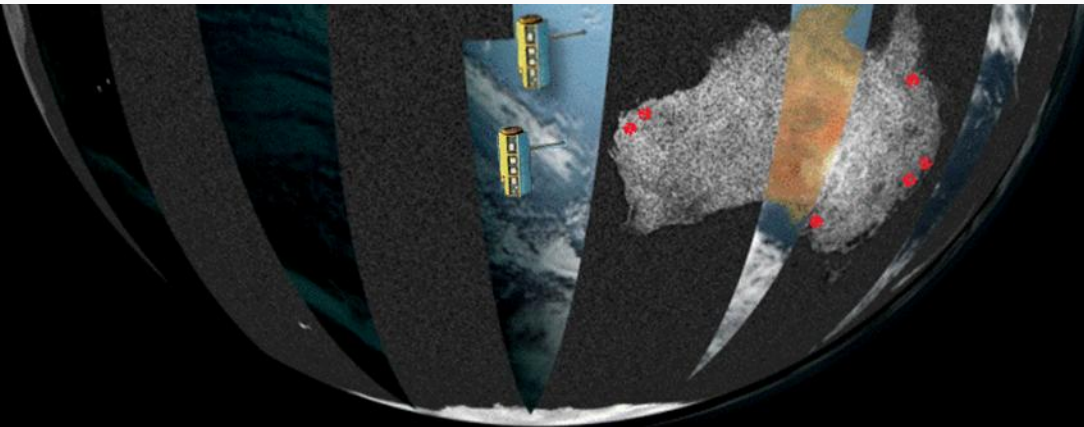
**GlobCover 2009**

# Global Urban Footprint 2011 / 2012



## Global Urban Footprint initiative

- World-wide inventory of human settlements using data of TanDEM-X mission;
- Generation of binary settlement masks at VHR;
- Basis for analysis of urban and rural settlement patterns.



# Global Urban Footprint 2011 / 2012



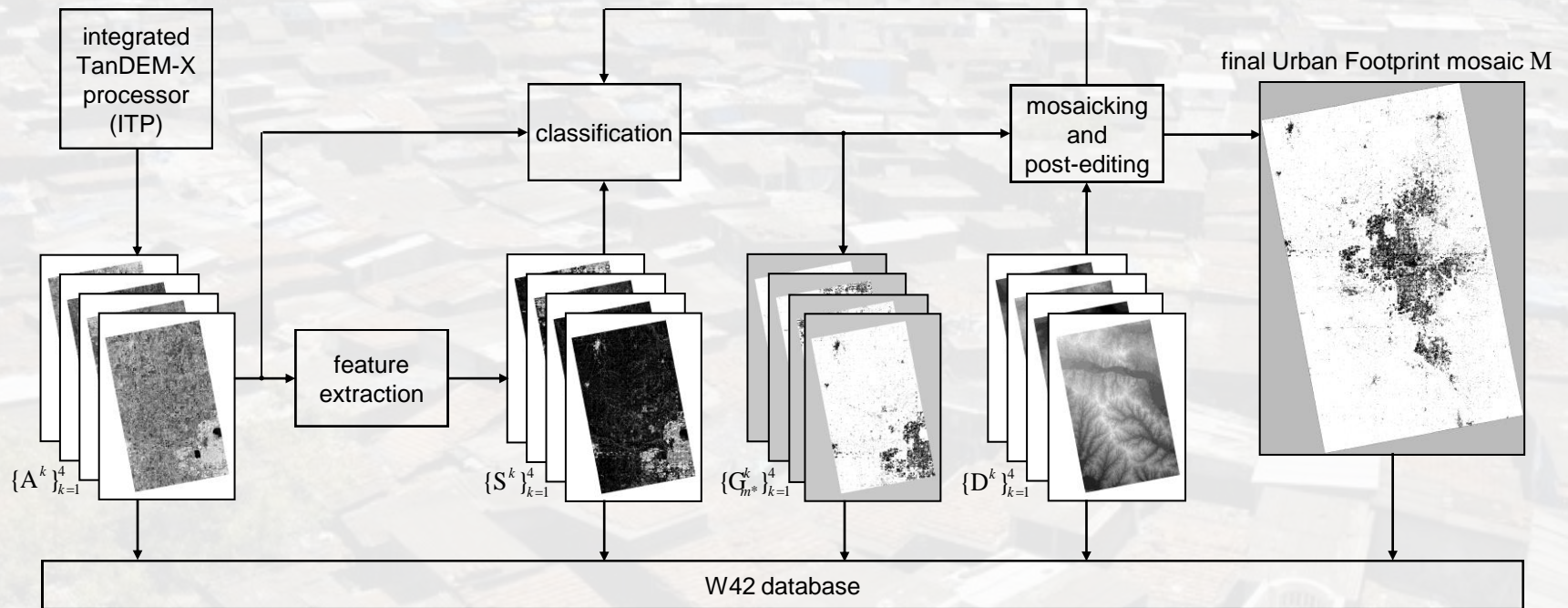
## TanDEM-X mission:

- two radar satellites (TSX, TDX)
- global digital elevation model
- image data: ~3 m
- two global coverages (2011, 2012)
- DEM standard products: ~ 12 m

Finalization of Global Urban  
Footprint layer planned for 2013

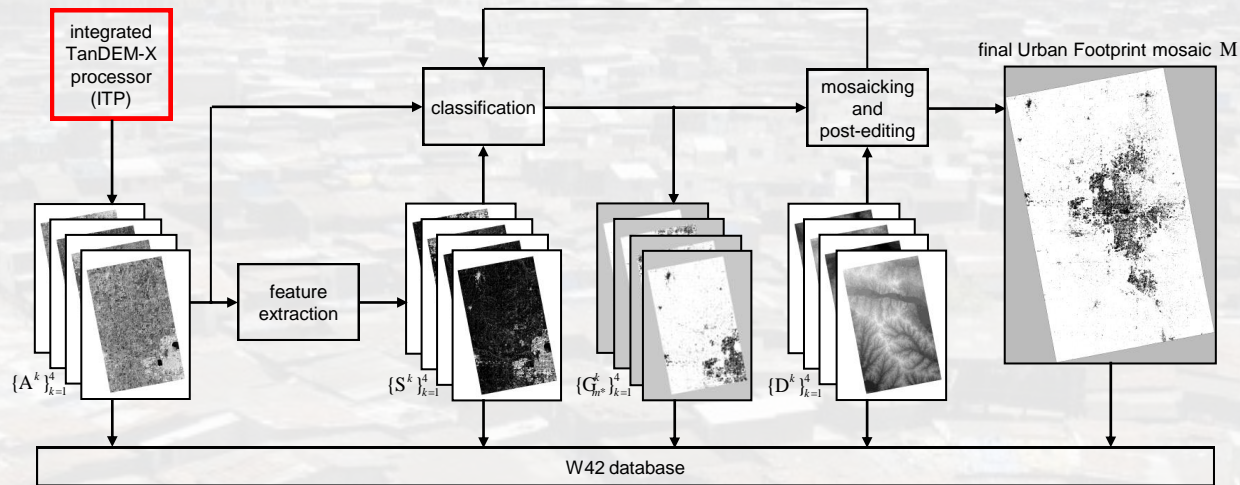
# Urban Footprint Processor

- The novel fully-automatic processing chain for the production of the GUF is referred to as **Urban Footprint Processor** (UFP):



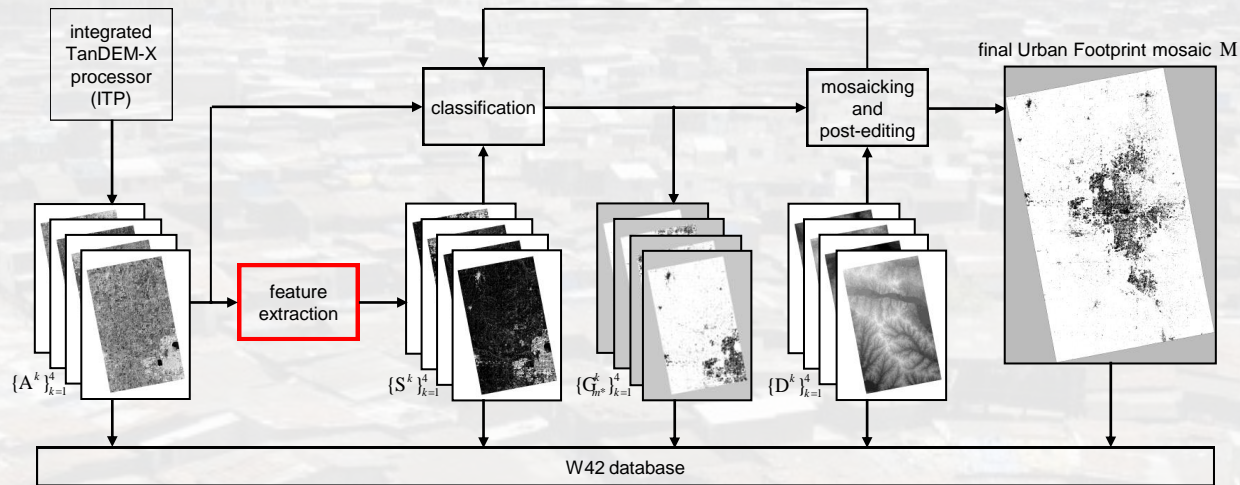


# Urban Footprint Processor – Input



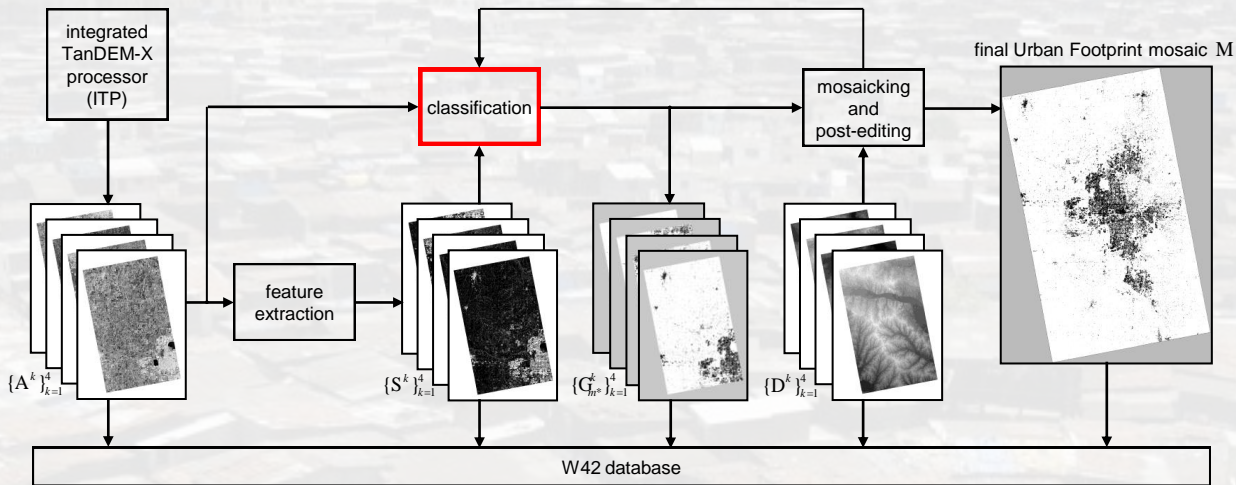
- Total number of more than **~180,000 radar images** composing one global TDM coverage (each one with average size of  $\sim 50,000 \times 45,000$  pixels adding up to a final data volume of **~300 TB**);
- SSC images are rescaled to a spatial resolution of about **~0.4 arc sec** ( $\sim 12$  m, i.e., the highest resolution in which the global DEM produced in the context of the TDM will be made available).

# Urban Footprint Processor – Feature Extraction



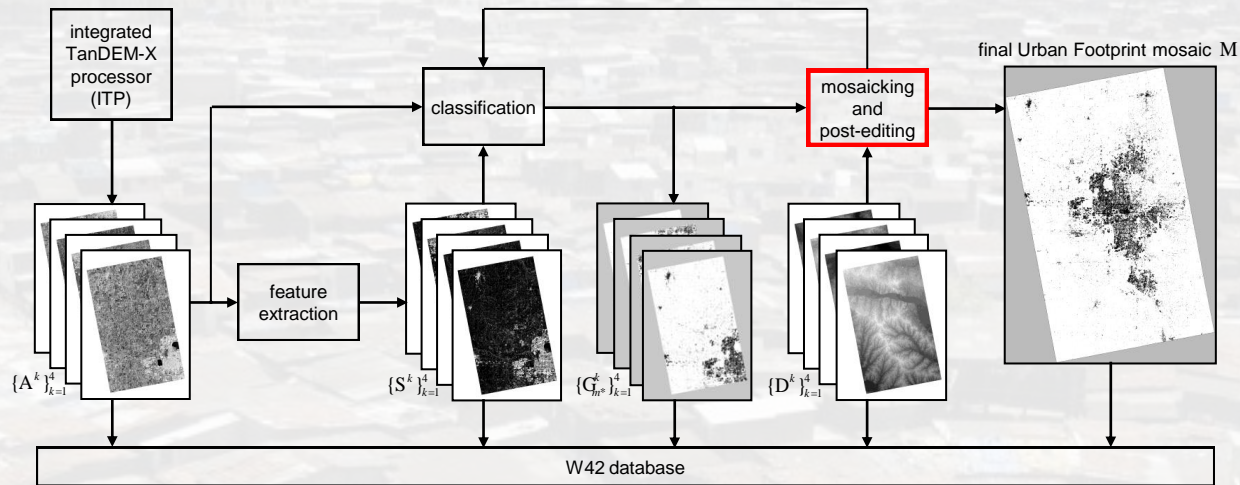
- The objective of the feature extraction is to derive **effective texture information** for highlighting regions characterized by highly structured and heterogeneous built-up areas;
- To this aim we extract the so-called **“speckle divergence”** feature  $\Sigma$ , which accounts for the specific characteristics of SAR data that exhibit strong scattering due to double bounce effects in urban areas;

# Urban Footprint Processor – Classification



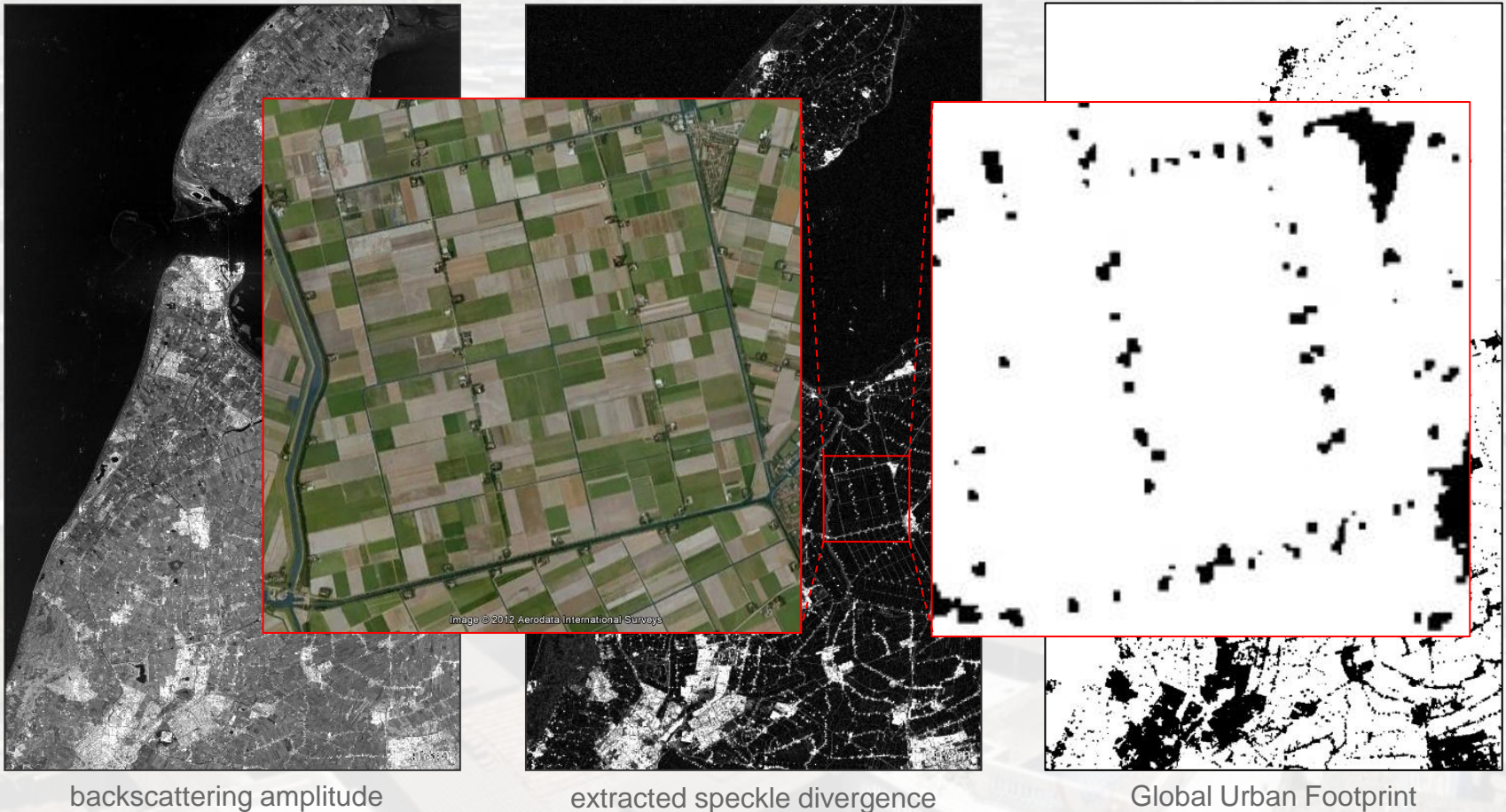
- The aim of the classification stage is to automatically derive a binary settlement layer (built-up, non-built-up) for the investigated scene once provided as input with the backscattering amplitude  $A$  and the corresponding speckle divergence  $\Sigma$ ;
- To this aim we developed a **novel unsupervised and fully automatic technique** which proved extremely **robust** and **effective**.

# Urban Footprint Processor – Mosaicking and Post-editing



- To overcome overestimation due to topography effects in hilly and mountainous areas, we implemented a dedicated mask derived from the analysis of the ASTER Global DEM.
- In particular, we **mark as non-urban all those pixels whose slope** (intended as the maximum rate of height change between each pixel and its closest eight neighbors) **is higher than 20 degrees in the neighborhood of a local peak.**

# Urban Footprint Processor – Classification



# Global Urban Footprint vs. GlobCover 2009

Google Earth

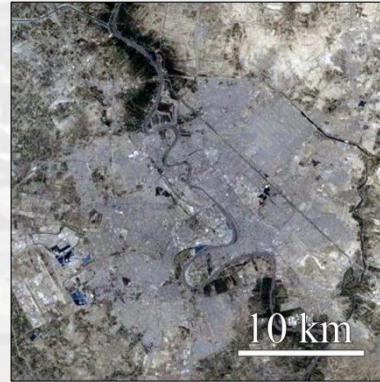
Accra (GH)



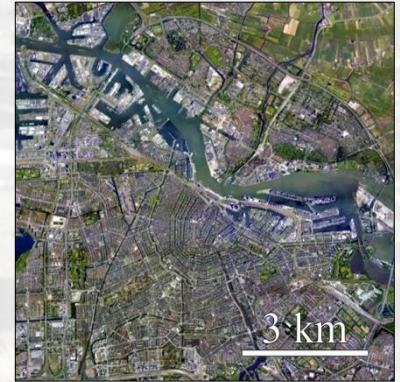
Dar es Salaam (TZ)



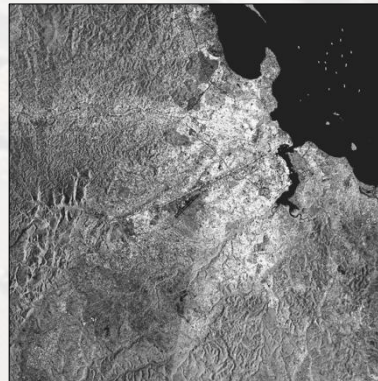
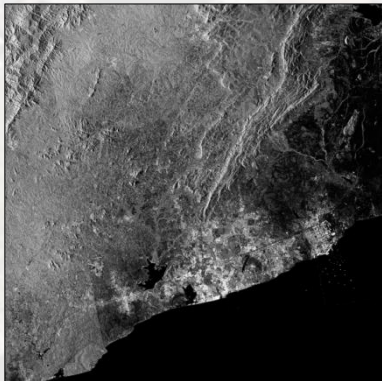
Baghdad (IQ)



Amsterdam (NL)



backscattering amplitude



# Global Urban Footprint vs. GlobCover 2009

Google Earth

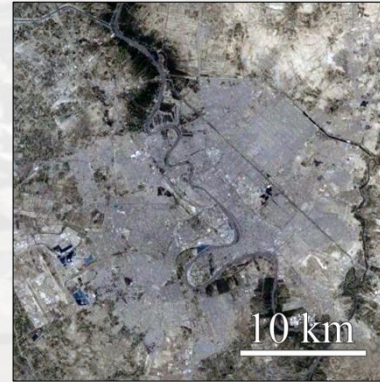
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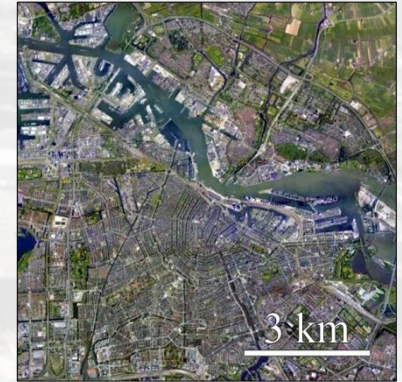
Dar es Salaam (TZ)



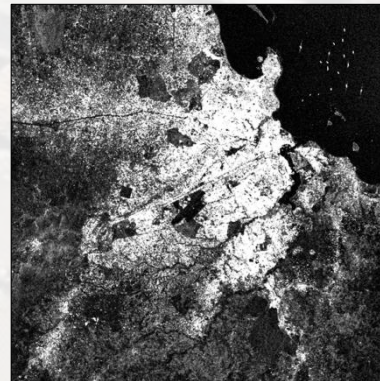
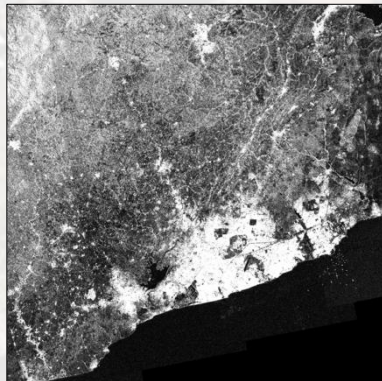
Baghdad (IQ)



Amsterdam (NL)



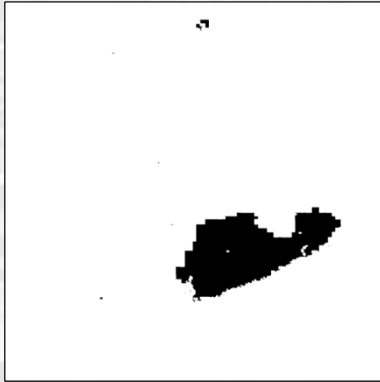
speckle divergence



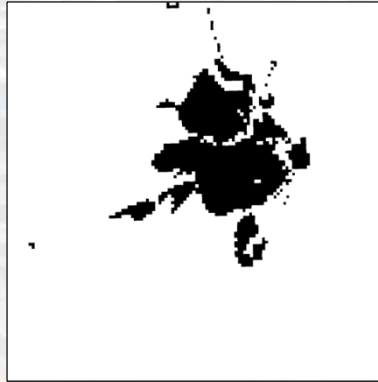
# Global Urban Footprint vs. GlobCover 2009

GlobCover 2009

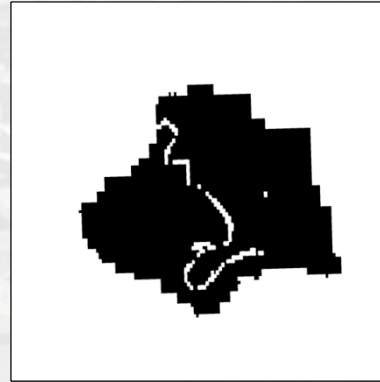
Accra (GH)



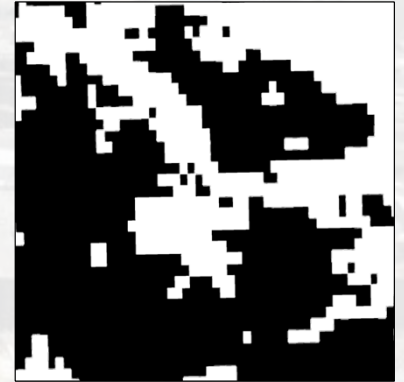
Dar es Salaam (TZ)



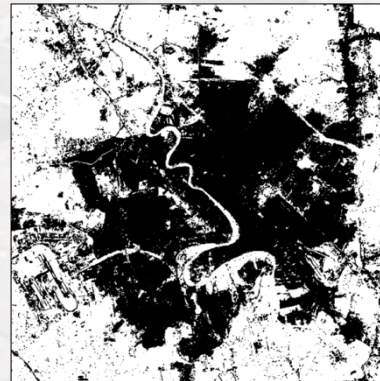
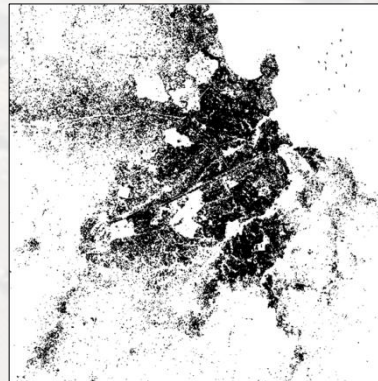
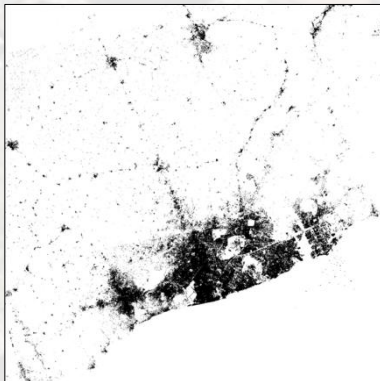
Baghdad (IQ)



Amsterdam (NL)



Global Urban Footprint





# Global Urban Footprint vs. MODIS 500

Google Earth

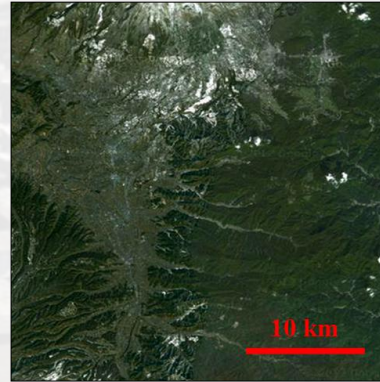
Rome (IT)



Oklahoma City (USA)



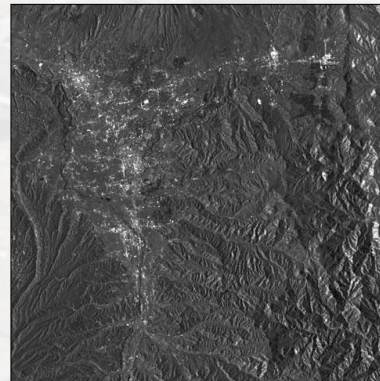
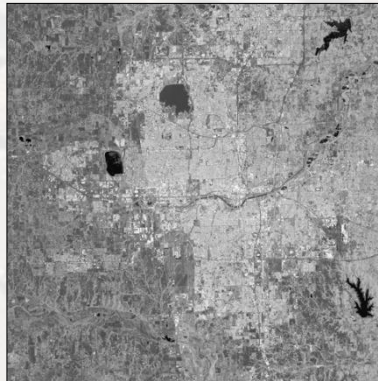
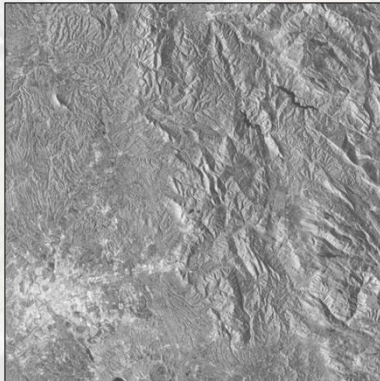
Saku (JP)



Zanzibar (TZ)



backscattering amplitude



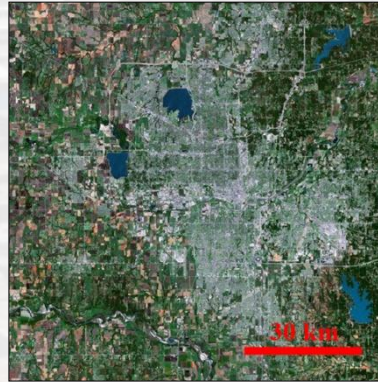
# Global Urban Footprint vs. MODIS 500

Google Earth

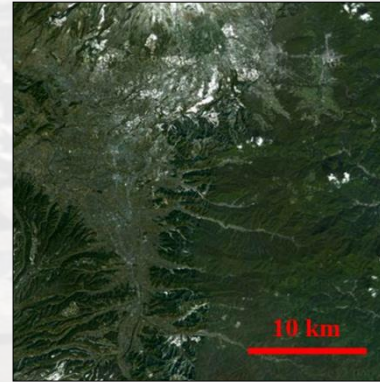
Rome (IT)



Oklahoma City (USA)



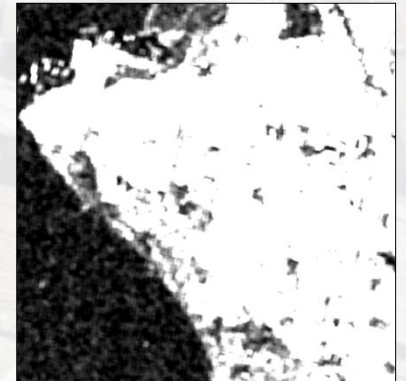
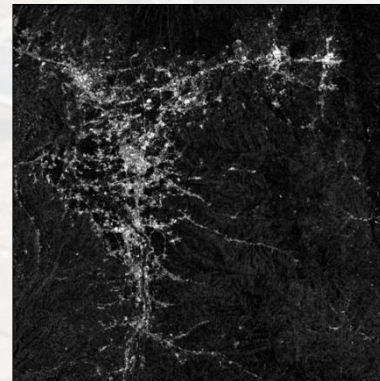
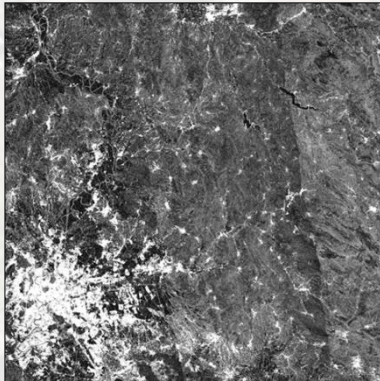
Saku (JP)



Zanzibar (TZ)



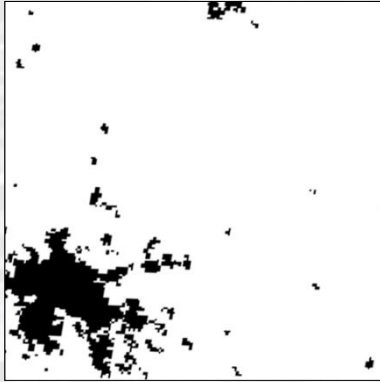
speckle divergence



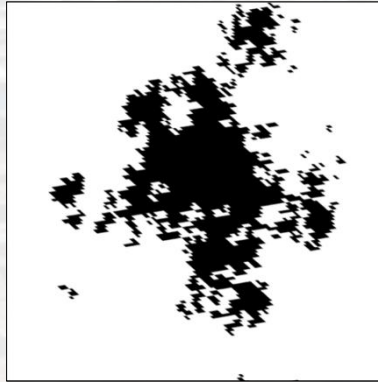
# Global Urban Footprint vs. MODIS 500

MODIS 500m

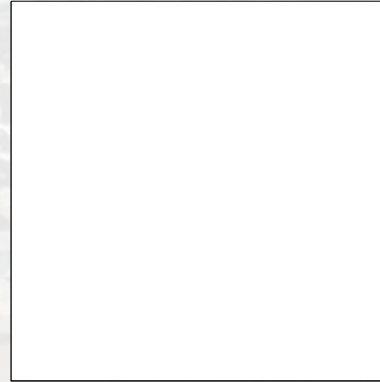
Rome (IT)



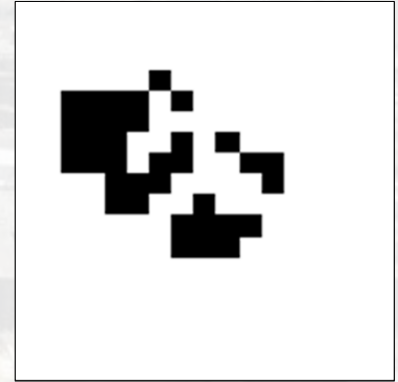
Oklahoma City (USA)



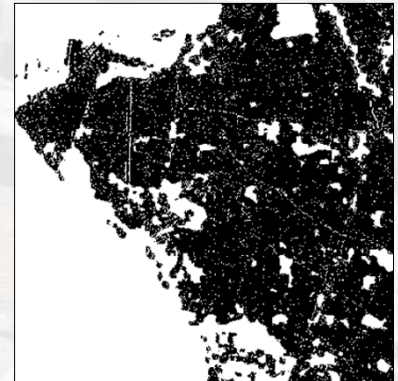
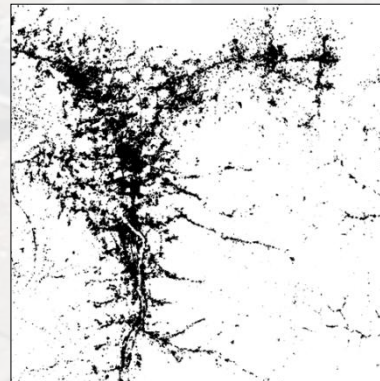
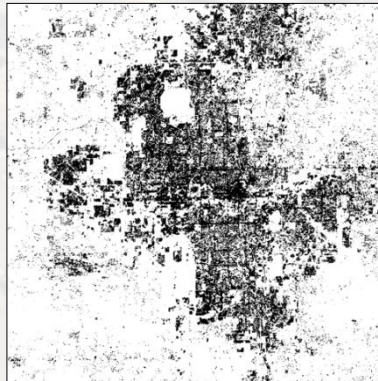
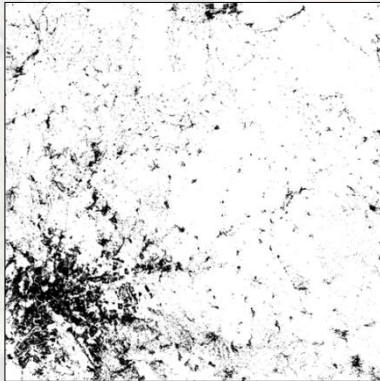
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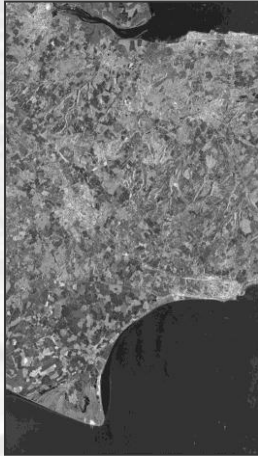


Global Urban Footprint

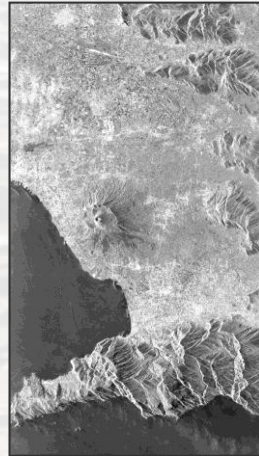


# Global Urban Footprint – Key Examples

Canterbury (UK)



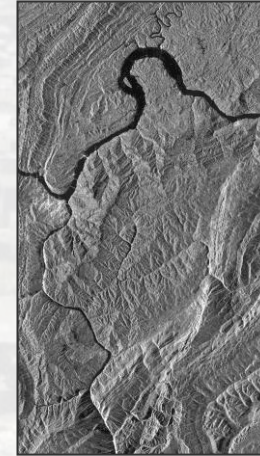
Naples (IT)



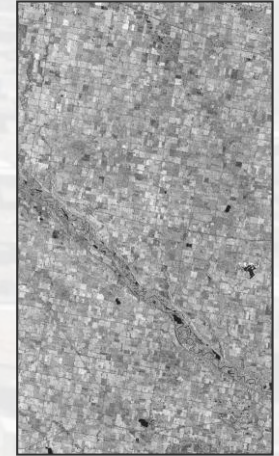
Markian Isl. (ID)



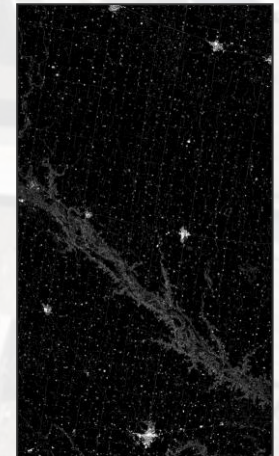
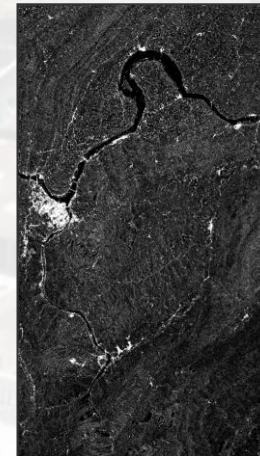
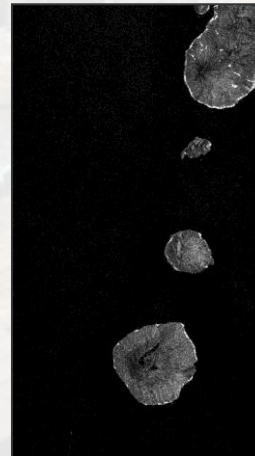
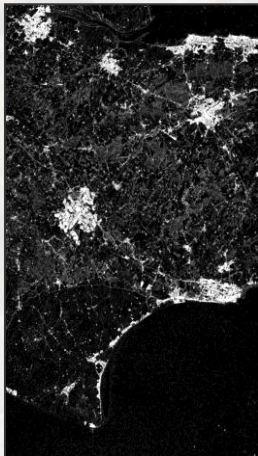
Fuling (CN)



Sleepy Eye (USA)



original  
backscattering  
intensity image

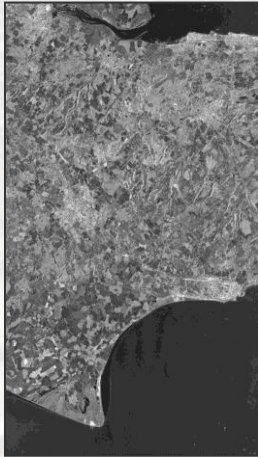


extracted speckle  
divergence

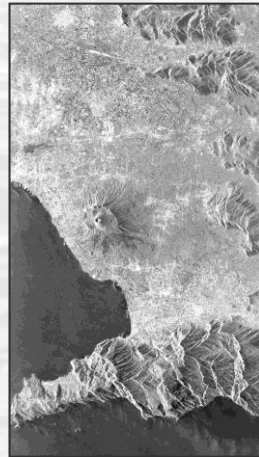


# Global Urban Footprint – Key Examples

Canterbury (UK)



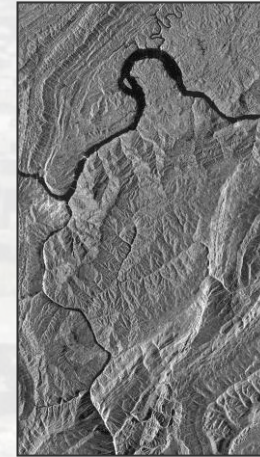
Naples (IT)



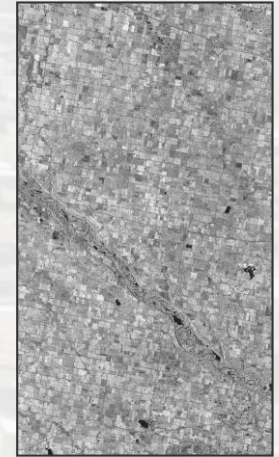
Markian Isl. (ID)



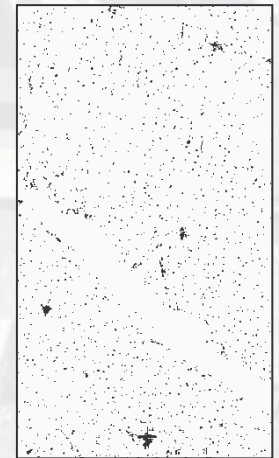
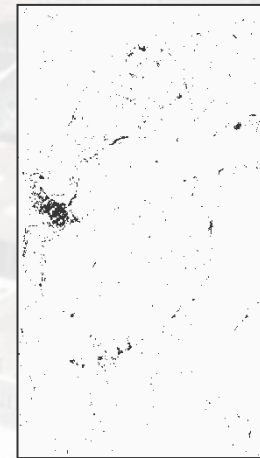
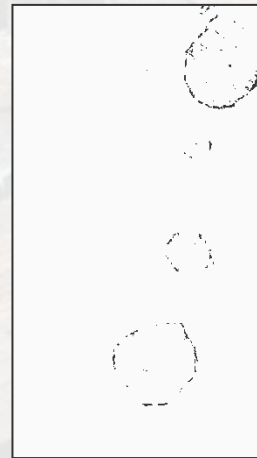
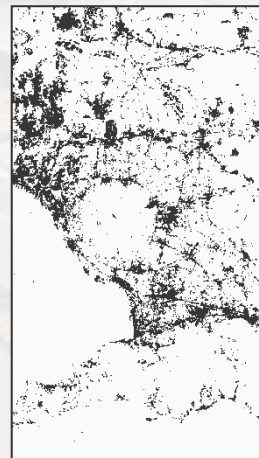
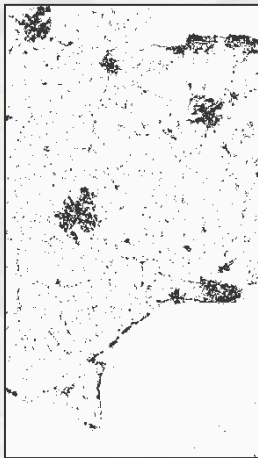
Fuling (CN)



Sleepy Eye (USA)



original  
backscattering  
intensity image



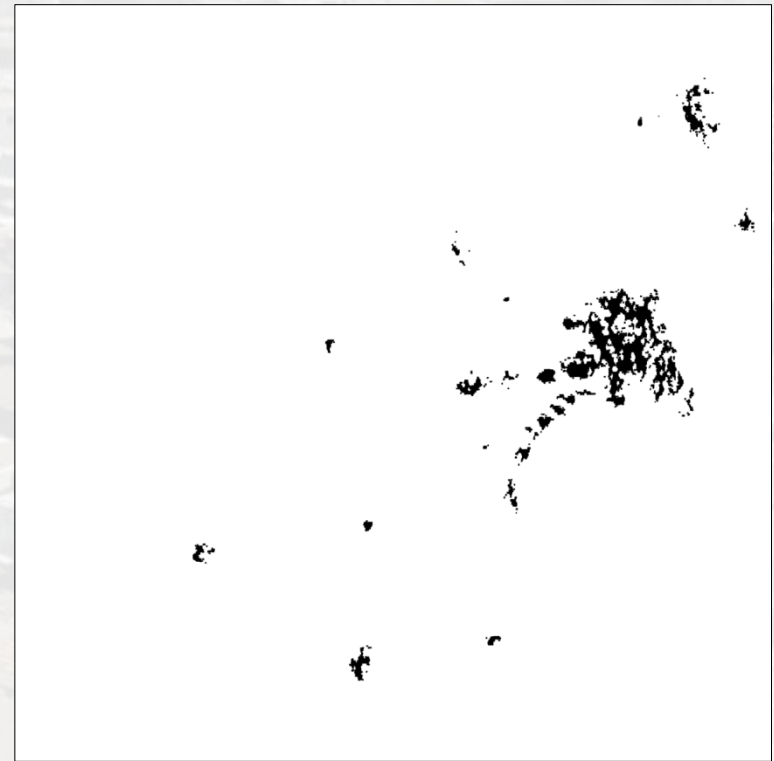
Global  
Urban Footprint



# Global Urban Footprint – Zealand (Denmark)



Google Earth



GlobCover 2009



# Global Urban Footprint – Zealand (Denmark)



Global Urban Footprint



Global Urban Footprint



# Global Urban Footprint – New Delhi (India)



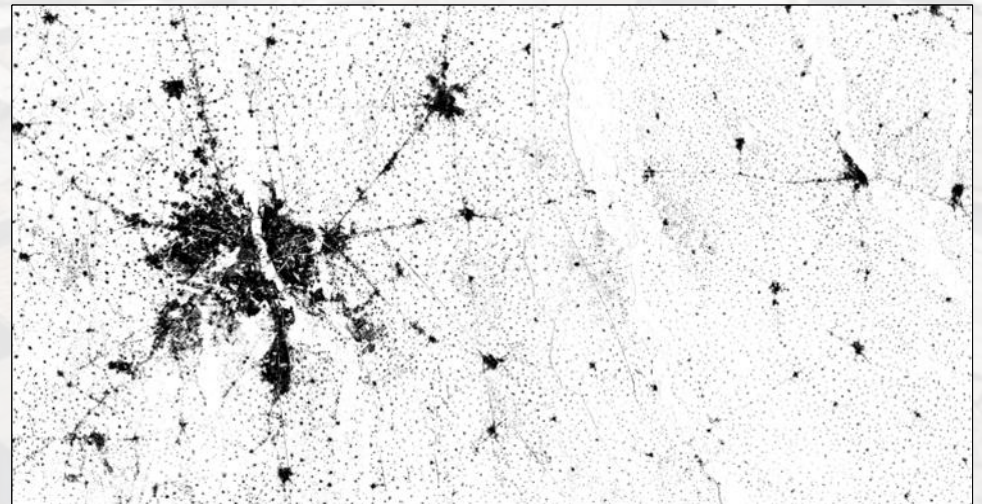
Google Earth

New Delhi Area: **88 images** (~90,000 km<sup>2</sup>)

original backscattering amplitude

extracted speckle divergence

Global Urban Footprint





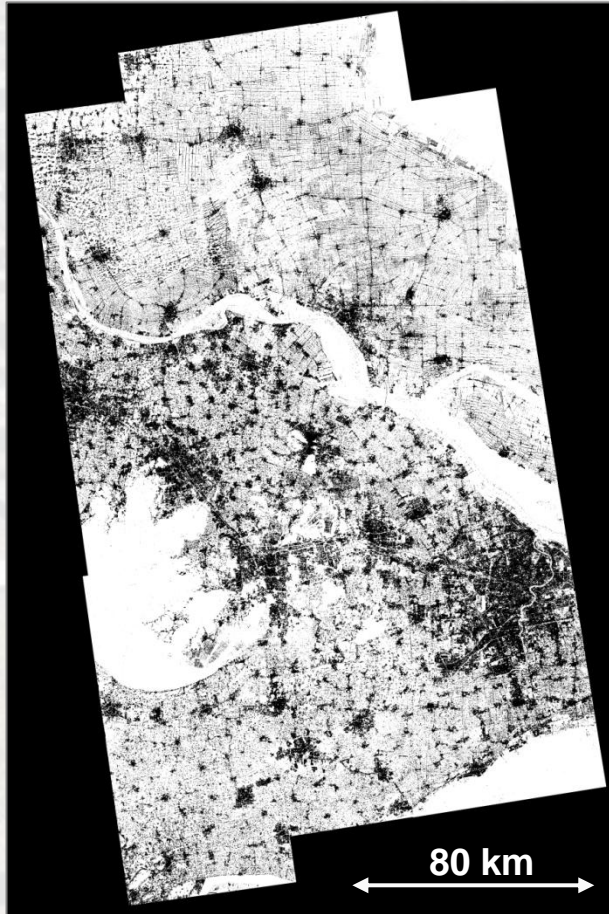
# Global Urban Footprint – Japan



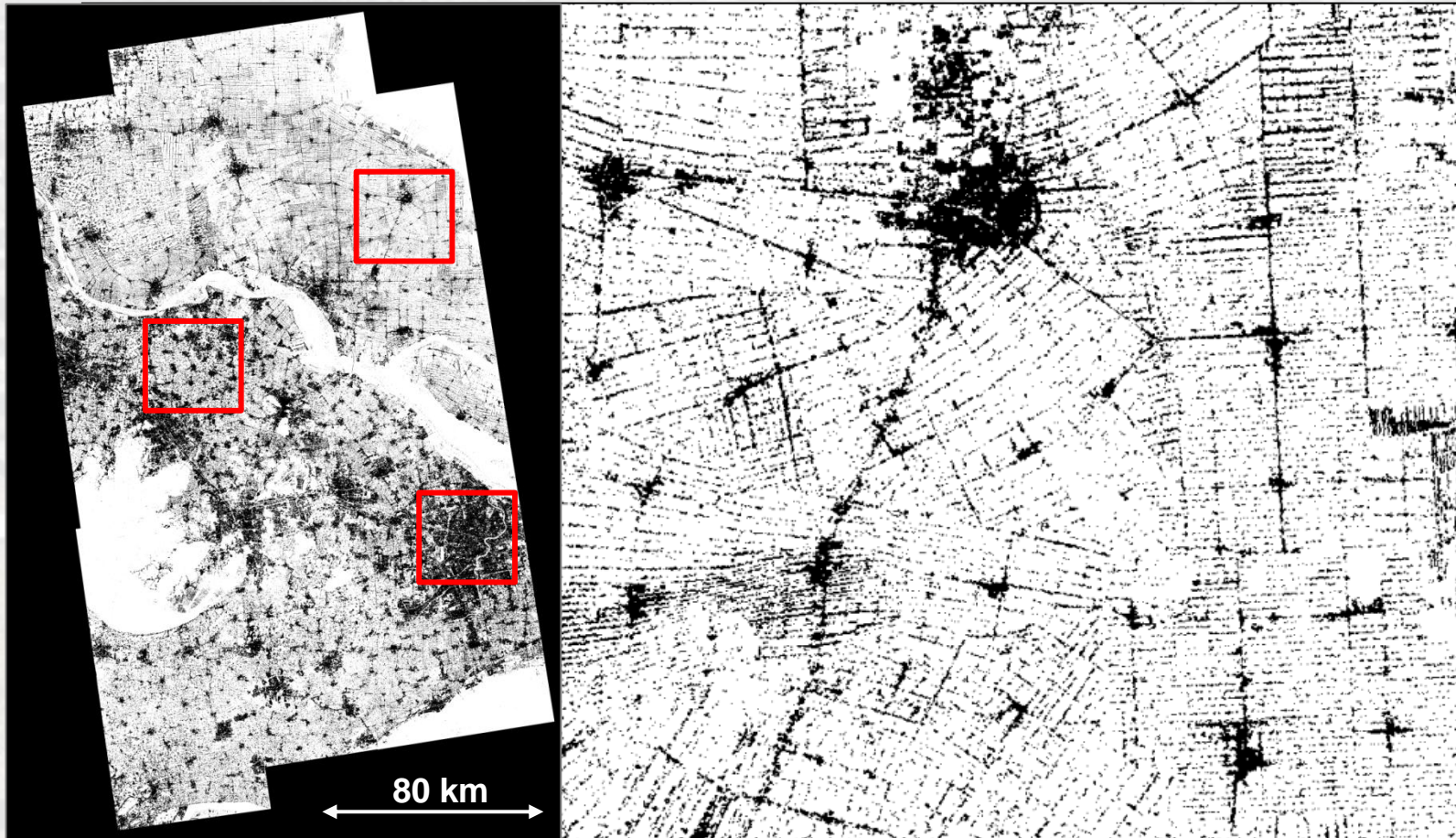
Japan: ~800 images

original data from the Global Urban Footprint code

# Global Urban Footprint – Shanghai (China)



# Global Urban Footprint – Shanghai (China)



Urban Footprint



# What's next? – Global Urban Growth

Urban spatio-temporal development based on historical optical (Landsat MSS, TM and ETM+) and SAR (ERS, ASAR) data + Global Urban Footprint.

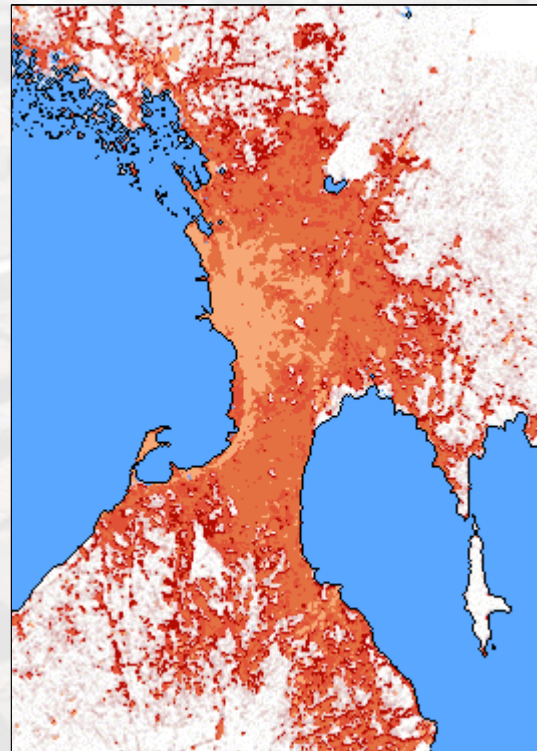
Manila  
(Philippines)

2010

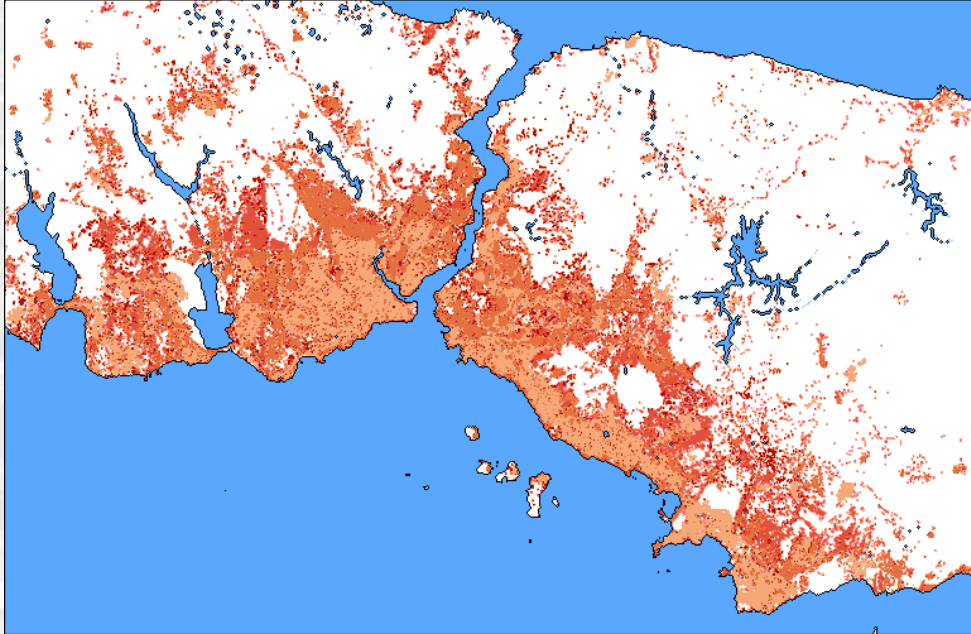
2000

1990

1975



# What's next? – Global Urban Growth



1975 1990 2000 2010

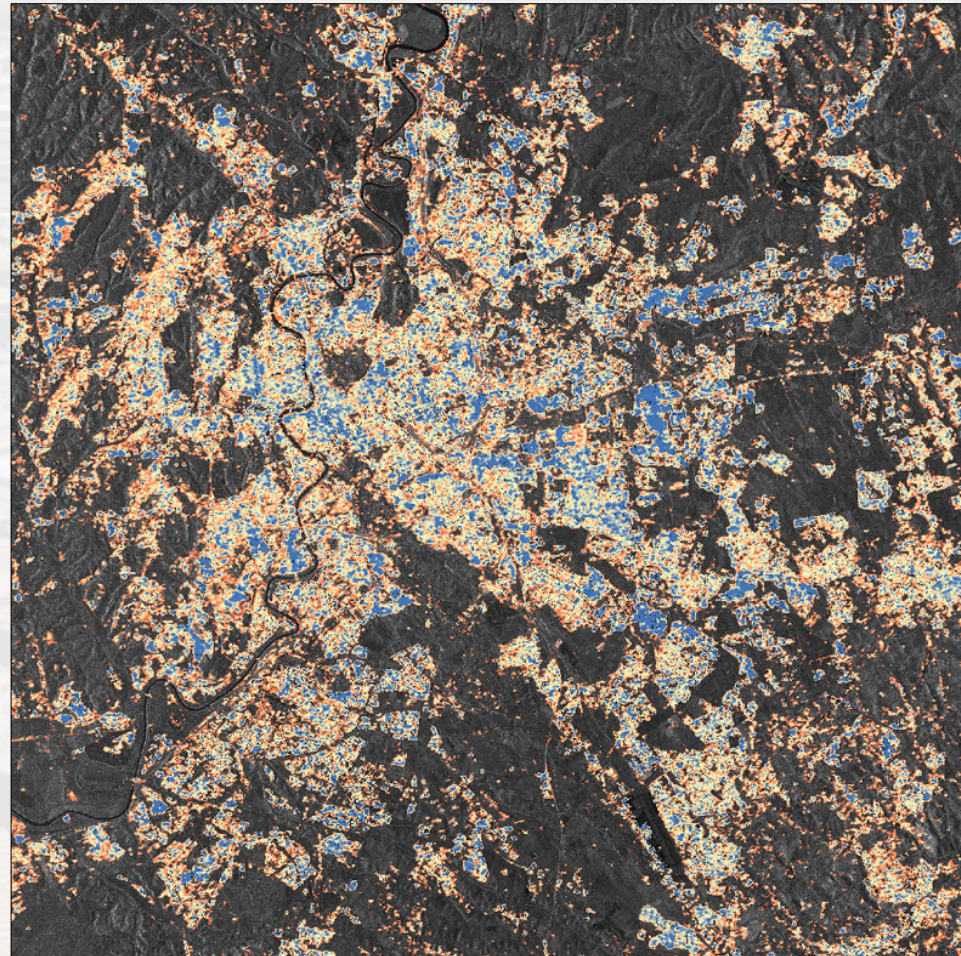
Istanbul (Turkey)



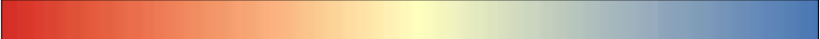
# What's next? – Urban Structural Analysis

Estimation of **building density** (concentration of buildings in a given area)

→ key parameter for classification of urban structure types and derivation of building usage



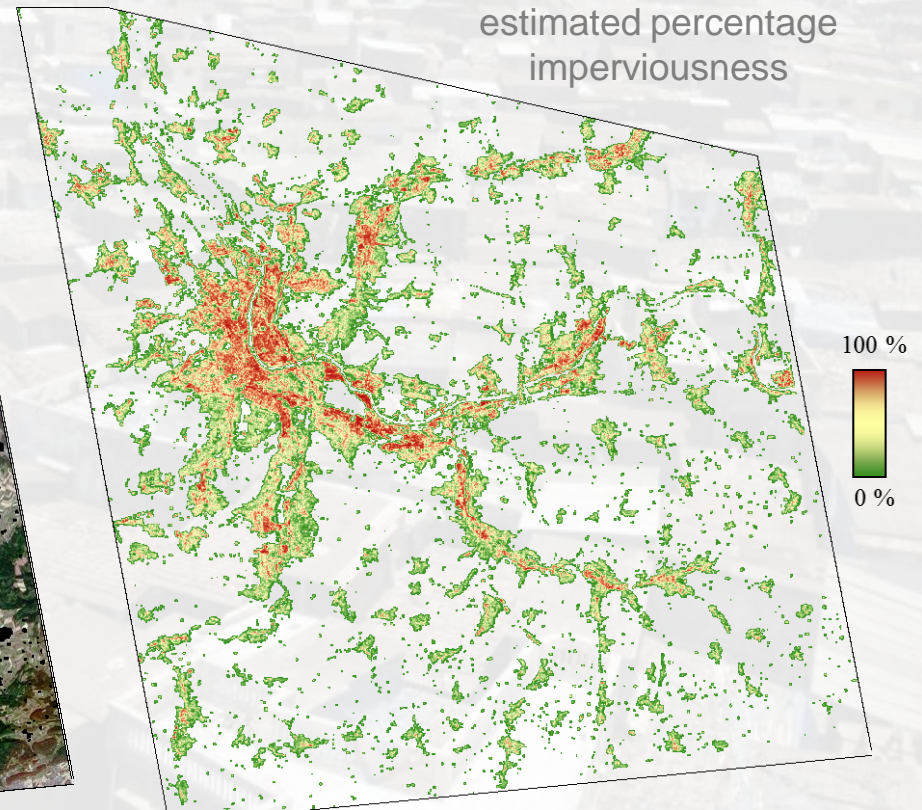
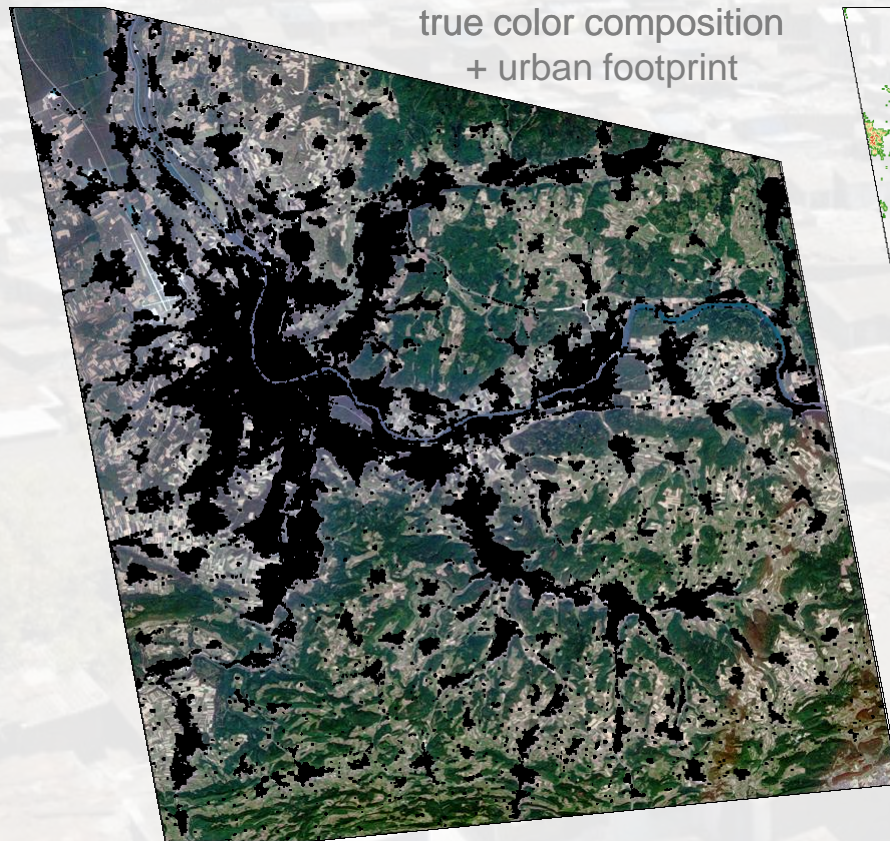
Estimated building density (50x50 m<sup>2</sup>)

<10%  >80%

# What's next? – Percentage Imperviousness

**Percentage imperviousness** (i.e. percentage of artificial structures covered by impenetrable material) estimated by means of HR optical data (e.g., SPOT, RapidEye) and empirical modelling

**Basel (Switzerland) - RapidEye image**



# What's next? – Building Structure Characterization



**Estimated Building Structure  
(GUF postprocessing)**



**True Building Structure**



# What's next? – Building Structure Characterization



**Estimated Building Structure  
(GUF postprocessing)**



**True Building Structure**

# Conclusions

- The presented GUF has already been produced for thousands of images worldwide and preliminary validation results assess its great potential to:
  - support the research into global urbanization patterns;
  - investigate spatiotemporal aspects of (peri-) urbanization;
  - support transdisciplinary and structural analyses.
- Extensive quantitative validation of the GUF based on in situ ground-truth information is ongoing;
- The production of the first GUF layer from the first TDM data coverage is envisaged for 2014 (a public domain version will be made available at ~50-75 m);
- For research purposes it will be possible to access the full-resolution product at 12 m resolution;
- A dedicated website will be online soon.

**... Thanks a lot for your attention! ...**

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